

Illinois Department of Human Services
Division of Developmental Disabilities

DDD Updates on Major Changes in the
Waiver Renewals and the Back Up Plan

Help is **Here**



Presenters

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- **Agenda**

- **Medicaid Waivers**
- **Waiver Renewals**
- **Back Up Plan**

Medicaid Waivers

- Medicaid Waivers are state-run programs that use federal and state funds to pay for health care/long term services for people with certain health conditions who would otherwise be institutionalized
- Waivers give the state option for flexibility of types of services for specific groups of people.
- Waivers are not an entitlement.
- The Division of Developmental Disabilities is the Operating Agency for three (3) Waivers (out of 9)
- Adult Waiver - Waiver for adults with Developmental Disabilities (DD)

Medicaid Waivers (continued)

- Waiver recipients participate in a person-centered planning process where they get to determine their services
- Services must comply with the Home and Community Based Services Settings Rule, focused independence, autonomy, choice and community integration
- Funding/Rates are determined by individual assessment (and home size for some residential)
- Services are licensed/certified by Department of Human Services (DHS) Bureau of Accreditation Licensure and Certification (BALC)/not IDPH
- Abuse & Neglect allegations investigated by DHS Office of the Inspector General (OIG)

Waiver Renewals – Major Changes

- Adult Waiver
 - Updating the performance measures reflecting Centers for Medicare and Medicaid Services (CMS) recommendations, consistency across the various waiver programs and two new performance measures specific to the Settings Rule.
 - Updating words and terms for consistency throughout the application.
 - Revise restraint and restrictive intervention language.
 - Adding Assistive Technology as a standalone service. It was previously included in the Adaptive Equipment service definition.
 - Requiring the development of a Back-Up Plan for Home Based Service (HBS) participants.
 - Increasing the number of required ISC visits from 2 to 4 annually.

Waiver Renewals – Major Changes

- Children's Support Waiver
 - Updating language to be consistent with person-centered planning.
 - Updating the Behavior Intervention and Treatment waiver service definition.
 - Updating Performance Measures to reflect CMS recommendations and to create consistent expectations amongst the nine HCBS waiver programs in the state.
 - Adding restraint and restrictive intervention language for youth who are receiving services from a Support Services Team (SST).
 - Requiring the development of a Back Up Plan for HBS participants.

Waiver Renewals – Major Changes

- Children's Residential Waiver
 - Updating language to be consistent with person-centered planning.
 - Updating the Behavior Intervention and Treatment waiver service definition.
 - Removes the ability of providers to utilize seclusion in the delivery of waiver services.
 - Revising restraint and restrictive intervention language.
 - Children's Group Home rates are being increased by 3% in accordance with the FY22 Budget passed by the General Assembly and signed by the Governor (this has been done via Appendix K to date).
 - Updating Performance Measures to reflect CMS recommendations and to create consistent expectations amongst the nine HCBS waiver programs in the state.

Back Up Plan

Application for a §1915(c) Home and Community-Based Waiver [Version 3.6, January 2019] Instructions, Technical Guide and Review Criteria

“When individuals are supported in their own private residence or other settings where staff might not be continuously available, the service plan should include a backup plan to address contingencies such as emergencies, including the failure of a support worker to appear when scheduled to provide necessary services when the absence of the service presents a risk to the participant’s health and welfare. An effective back-up plan is one that is crafted to meet the unique needs and circumstances of each waiver participant. The response to this item should also describe the types of back-up arrangements that are employed. Such arrangements may include arranging for designated provider agencies to furnish staff support on an on-call basis as necessary.”

Back Up Plan

- Highlights:
 - Home Based Services (HBS) participants only
 - An employee providing essential supports is unavailable
 - Employer of Record is not capable or available to manage employees
 - Other emergencies
- Form Number: IL462-4125 Individualized Back-Up Plan
- <https://www.dhs.state.il.us/onenetlibrary/12/documents/Forms/IL462-4125.pdf>

Back Up Plan



State of Illinois
Department of Human Services - Division of Developmental Disabilities

INDIVIDUALIZED BACK-UP PLAN

An emergency back-up plan is required to handle situations when an employee providing essential supports is unavailable, the Employer of Record is not capable or available to manage employees or other emergencies arise. A back-up plan may include friends, family or other natural supports, trained and qualified employees, or agency providers whom you can call for assistance. If back-up services are to be purchased from an agency provider, the individual/employer of record must consider such costs in the budget. In addition, any employees who are paid to provide back-up services must not be scheduled for over 40 hours per week. The Personal Plan must also address the back-up plan. All members of your support team need to be educated about your back-up plan and have information accessible. This form may be used to ensure that essential information is available for your employees. **This plan should be accessible in case of an emergency.**

Participant Name: _____ Participant Phone: _____

Communication Needs: Yes No If yes, identify communication need: _____

Provide detailed steps to handle situations when an employee, who is essential for support, is not available:

When the Employer of Record is not capable or available to manage employees, I would like to appoint the following temporary Employer of Record.

Name: _____ Relationship: _____ Phone: _____

Emergency Contact(s)

Name: _____ Relationship: _____ Phone: _____

Name: _____ Relationship: _____ Phone: _____

Back Up Plan

EMERGENCY If there is... A Medical Emergency Life Support Equipment Failure Serious Injury/Accident Call 911 Suicide Intervention Hotline Call 800-784-2433 or 800-273-8255 800-799-4889 (TTY)	REPORT ABUSE, NEGLECT, EXPLOITATION (24 Hours) Adult Protective Services: 866-800-1409; 888-206-1327 (TTY) Office of Inspector General (OIG) Hotline: 800-368-1463
PREFERRED PHARMACY	MEALS AND FOOD
Name: <input type="text"/>	Delivered by: <input type="text"/>
Phone: <input type="text"/>	Phone: <input type="text"/>
Person Responsible for Medication Setup	IF not delivered as usual, call:
Name: <input type="text"/>	Name: <input type="text"/>
Relationship: <input type="text"/>	Phone: <input type="text"/>

Critical Incident Reporting: The Division of Developmental Disabilities (DDD) has developed the Critical Incident Reporting and Analysis System (CIRAS) to capture electronic reports from providers and Independent Service Coordination (ISC) agencies for critical incidents involving individuals with developmental disabilities in the State's Medicaid Waiver programs. If any of the following occur, the reporting person or Employer of Record should first ensure the individual's health and safety and then should contact ISC staff as soon as possible:

Call to 911, Death of Participant, Known Injury, Law Enforcement is called, Medical Emergency, Missing Individual, Peer-to-Peer Act, Peer-to-Staff Act, Unauthorized Restraint, Unknown Injury, Unscheduled Hospitalization, or Excessive Use of Back-Up Plan (plan is executed two days in a row or 3 times in a running 7-day period).

REPORT ABOVE INCIDENTS TO: Independent Service Coordination Agency (ISC)

ISC Agency: ISC Main Phone:
 ISC Staff Name: ISC Secondary Phone:



Contact Information:

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Thank
you

